

**STATE OF RHODE ISLAND
BEFORE THE RHODE ISLAND ETHICS COMMISSION**

**In re: Helder J. Cunha,
Respondent**

Complaint No. 2016-11

ORDER

This matter having been heard before the Rhode Island Ethics Commission on September 27, 2016, pursuant to Commission Regulation 1011, and the Commission having considered the Complaint herein, the arguments of the parties, and the proposed Information Resolution and Settlement, which is incorporated by reference herein, it is hereby

ORDERED, ADJUDGED AND DECREED

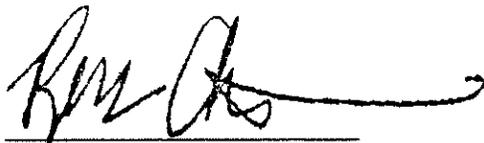
THAT, the Commission approves the Informal Resolution and Settlement as submitted;

THAT, the Commission incorporates by reference herein the Findings of Fact and Admissions, and the Conclusions of Law, set forth in the Informal Resolution and Settlement;

THAT, the Respondent violated Rhode Island General Laws § 36-14-16;

THAT, the Respondent is ordered to pay a civil penalty in the amount of Five Hundred Dollars (\$500.00).

ENTERED as an Order of this Commission,



Chairperson

Dated: September 27, 2016

**STATE OF RHODE ISLAND
BEFORE THE RHODE ISLAND ETHICS COMMISSION**

In re: Helder J. Cunha,
Respondent

Complaint No. 2016-#

INFORMAL RESOLUTION AND SETTLEMENT

The Respondent, Helder J. Cunha, and the Rhode Island Ethics Commission
("Commission") hereby agree to a resolution of the above-referenced matter as follows:

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I. FINDINGS OF FACT & ADMISSIONS

1. The Respondent was elected to the East Providence City Council in December 2012 and has served continuously in said capacity.
2. The Respondent is also a candidate for State Representative in the 2016 state primary election.
3. As a municipal elected official during calendar years 2012 and 2013, the Respondent was required to file annual financial disclosure statements with the Commission on or before the last Friday in April 2013 and April 2014.
4. At all relevant times hereto, the Respondent served as Manager of Cambridge Capital Group LLC, a Rhode Island corporation in existence from November 24, 2010, until May 14, 2014.
5. The Respondent failed to disclose his position as Manager of Cambridge Capital Group LLC on his 2012 and 2013 Financial Disclosure Statements ("Statements"), as required by Rhode Island General Laws § 36-14-17(b)(6).
6. On August 16 and August 18, 2016, the Respondent amended his 2012 and 2013 Statements filed with the Commission to reflect his position as Manager of Cambridge Capital Group.



II. CONCLUSIONS OF LAW

1. As a municipal elected official, the Respondent was, at all relevant times, subject to the financial disclosure mandate of Rhode Island General Laws § 36-14-16.

2. The Respondent failed to disclose his position as Manager of Cambridge Capital Group LLC on his 2012 and 2013 Statements, in violation of Rhode Island General Laws § 36-14-16.

III. SETTLEMENT

Pursuant to the above Findings of Fact and Conclusions of Law, the parties hereby agree, subject to the approval of the Commission, to the following, pursuant to Rhode Island General Laws § 36-14-13(d) and Commission Regulation 1011:

1. The Commission shall enter an Order and Judgment that the Respondent's failure to make the required disclosures on his 2012 and 2013 Statements filed with the Commission violated Rhode Island General Laws § 36-14-16.

2. The Respondent agrees that, pursuant to the above Findings of Fact and Conclusions of Law, the Prosecution will recommend the imposition of a civil penalty in the amount of Five Hundred Dollars (\$500.00). The Respondent agrees to the payment of said civil penalty.

3. The above terms represent the full and complete Informal Resolution and Settlement for Complaint No. 2016-11.


Teresa Giusti, Esq. (Bar No. 8006)
Commission Prosecutor
Dated: 9/16/16


Helder J. Cunha
Respondent
Dated: 9/2/16