STATE OF RHODE ISLAND BEFORE THE RHODE ISLAND ETHICS COMMISSION

In re: David Patten Respondent Complaint No. 2023-6

ORDER

This matter having been heard before the Ethics Commission on March 26, 2024, pursuant to 520-RICR-00-00-3.16 Informal Disposition (1011), and the Ethics Commission having considered the Complaint herein, the arguments of the parties, and the proposed Informal Resolution and Settlement, it is hereby:

ORDERED, ADJUDGED, AND DECREED

- 1. The Commission approves the proposed Informal Resolution and Settlement;
- 2. The Respondent, while Director of the Division of Capital Asset Management and Maintenance (DCAMM), violated 520-RICR-00-00-1.4.2 of the Code of Ethics by soliciting and accepting the gift of a free private lunch having a fair market value in excess of \$25 from a state vendor that had a direct financial interest in decisions that the Respondent was authorized to participate in the making of as part of his official duties. For this violation, the Respondent is ordered to pay a civil penalty in the amount of \$3,000;
- 3. The Respondent, while a procurement official for the Department of Administration (DOA) and DCAMM, violated R.I. Gen. Laws § 36-14.1-2(b) by soliciting and accepting free goods or services (coffee, croissant, and a private lunch) valued at over \$100, for his personal use, from a state vendor who had sold services to DCAMM and the DOA during the preceding 24 months, and who the Respondent had reason to know would be making a proposal for the sale of services to DCAMM and the DOA in the succeeding 24 months. For this violation the Respondent is ordered to pay a civil penalty in the amount of \$2,000;
- 4. In mitigation of the above, the Respondent represents that during the period of the above-described conduct on March 10, 2023, he was suffering from a medical event;
- 5. By agreement of the parties, the Investigative Report in this matter prepared by the Chief Prosecutor shall be considered a public document; and

6. All other counts and/or allegations referenced in the instant Complaint and/or the Investigative Report are dismissed with prejudice.

ENTERED as an Order of the Rhode Island Ethics Commission,

3/29/2024

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STATE OF RHODE ISLAND BEFORE THE RHODE ISLAND ETHICS COMMISSION

In re: David Patten Respondent Complaint No. 2023-6

INFORMAL RESOLUTION AND SETTLEMENT

The Ethics Commission Chief Prosecutor, representing the People of the State of Rhode Island, and the Respondent, David Patten, hereby agree to a resolution of the above-referenced matter as follows, subject to the review and approval of the Rhode Island Ethics Commission:

The Ethics Commission shall enter an Order and Judgment as follows:

- 1. The Respondent, while Director of the Division of Capital Asset Management and Maintenance (DCAMM), violated 520-RICR-00-00-1.4.2 of the Code of Ethics by soliciting and accepting the gift of a free private lunch having a fair market value in excess of \$25 from a state vendor that had a direct financial interest in decisions that the Respondent was authorized to participate in the making of as part of his official duties. For this violation the Ethics Commission shall impose, and the Respondent agrees to pay, a civil penalty in the amount of \$3,000;
- 2. The Respondent, while a procurement official for the Department of Administration (DOA) and DCAMM, violated R.I. Gen. Laws § 36-14.1-2(b) by soliciting and accepting free goods or services (coffee, croissant, and a private lunch) valued at over \$100, for his personal use, from a state vendor who had sold services to DCAMM and the DOA during the preceding 24 months, and who the Respondent had reason to know would be making a proposal for the sale of services to DCAMM and the DOA in the succeeding 24 months. For this violation the Ethics Commission shall impose, and the Respondent agrees to pay, a civil penalty in the amount of \$2,000;
- 3. In mitigation of the above, the Respondent represents that during the period of the above-described conduct on March 10, 2023, he was suffering from a medical event.
- 4. The parties agree that the Investigative Report in this matter, prepared by the Chief Prosecutor, shall become a public document upon the Ethics Commission's approval of this Informal Resolution and Settlement;
- 5. All other counts and/or allegations referenced in the instant Complaint and/or the Investigative Report shall be dismissed with prejudice;

6. The above terms represent the full and complete Informal Resolution and Settlement for Complaint No. 2023-6.

Jason Gramitt, Esq. (# 5636) Date

Chief Prosecutor

David Patten Respondent Date

Respondent

Michael P. Lynch, Esq.

Date

Attorney for Respondent (#3451)

APPROVED by vote of the Rhode Island Ethics Commission on March 26, 2024:

Marisa Quinn, Chair

Date